

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
5884.0020-03

In Re Application Of: **Frampton E. Ellis, III**

Serial No.
09/993,665

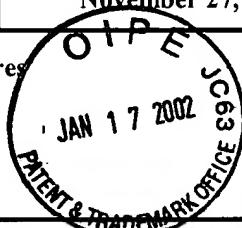
Filing Date

November 27, 2001

Examiner
UNK

Group Art Unit
3728

Title: **Shoe Sole Structures**



Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
OR
 the fee set forth in 37 CFR 1.17(p).

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Shoe Sole Structures



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
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Kevin J. Dunleavy

Signature

Dated: *January 17, 2002*

Kevin J. Dunleavy, Esq. (Reg. No. 32,024)

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May
all*

17 pages of Substitute PTO-1449s that were filed in the parent patent application, U.S. application no. 08/452,490, to be initialed by the Examiner

Filed in the USPTO on January 17, 2002